

# Can a Byte Improve our Bite? An Analysis of Digital Twins in the Food Industry

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**Abstract:** The food industry faces many challenges, including the need to feed a growing population, food loss and waste, and inefficient production systems. To cope with those challenges, digital twins that create a digital representation of physical entities by integrating real-time and real-world data seems to be a promising approach. This paper aims to provide an overview of digital twin applications in the food industry and analyze their challenges and potentials. Therefore, a literature review is executed to examine digital twin applications in the food supply chain. The applications found are classified according to a taxonomy and key elements to implement digital twins are identified. Further, the challenges and potentials of digital twin applications in the food industry are discussed. The survey revealed that the application of digital twins mainly targets the production (agriculture) or the food processing stage. Nearly all applications are used for monitoring and many for prediction. However, only a small amount focuses on the integration in systems for autonomous control or providing recommendations to humans. The main challenges of implementing digital twins are combining multidisciplinary knowledge and providing enough data. Nevertheless, digital twins provide huge potentials, e.g., in determining food quality, traceability, or designing personalized foods.

**Keywords:** digital twins; food industry; food supply chain; cyber-physical systems; sensors; Internet-of-Things; survey

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## 1. Introduction

With the evolution and digitalization towards Industry 4.0, the concept of creating digital copies of physical counterparts received entry to the industry [1]. In particular, the food industry is of special interest because it requires a high efficient use of the available resources [2]. Over time, food production systems have evolved alongside technological innovations, allowing for increased production, greater product variety, more resilient food stocks, and increased international trade. Yet, despite these advances, food systems around the world continue to face unprecedented challenges. Challenges such as climate change, pressure to feed a growing global population, and persistent global food waste pose significant threats to current food systems. In addition, there are growing societal demands for greater food provenance, traceability, and sustainability within the food system [3].

A key element of Industry 4.0 is the digital twin: a virtual model of a product or process created with data collected by sensors that enables simulations or real-time analyses of the status of production [1,4]. The use of digital twins seems beneficial in food processing for various reasons. The Covid-19 pandemic demonstrated the vulnerability of food supply resilience [5]. To ensure the supply of foods, production processes must allow high flexibility and adaptivity [6]. Furthermore, product quality is influenced by different quality levels of input materials. Especially in the case of seasonal fluctuations

37 impacting raw material quality, an adjustment of parameters in the production process  
38 is essential. Introduction processes of new products could be simplified by a digital  
39 twin of already existing products. The digital twin is able to learn the correct process  
40 parameters for production and is used as the knowledge base within a self-adaptive  
41 software system [7]. However, a digital twin of food production has additional specific  
42 requirements compared to digital twins of the production of material goods [8]. Due  
43 to the variability of raw materials, these cannot be based only on the processing steps  
44 but must also take into account the chemical, physical, or (micro)biological properties  
45 of the food. Further, the technology can be applied to create a detailed digital model of  
46 the supply chain that integrates real-time and real-world data to respond to unexpected  
47 events and uncertainty within the supply chain.

48 This work aims to provide an overview of digital twin applications in the food  
49 industry and analyze their challenges and potentials. Therefore, we first present a  
50 taxonomy to differentiate underlying technologies and better understand the intended  
51 use of each digital twin. Second, a survey is executed to examine digital twin applications  
52 in the food supply chain (FSC). We target the FSC as it provides a link between all the  
53 key activities and processes involved in bringing a specific food product to market [9].  
54 To meet these unprecedented challenges, FSCs and corresponding actors are turning to  
55 modern technology for assistance [10]. We classify the found applications of digital twins  
56 according to our taxonomy. Third, we investigate the key elements to implement digital  
57 twins in the FSC. Fourth, since the concept of digital twins is still young, we discuss  
58 the potentials of applying them in the food sector. Finally, we discuss the challenges of  
59 applying digital twins in the food industry. In summary, this paper contributes to the  
60 body of research by providing the following scopes:

- 61 • Classification of digital twins in the food sector.
- 62 • Overview of the application of digital twins in the food industry.
- 63 • Definition of the key elements for implementing a digital twin.
- 64 • Analysis of the potential of digital twins in the food industry.
- 65 • Description of challenges of applying digital twins in the food industry.

66 The remainder of the paper is structured as follows. Next, Section 2 explains several  
67 fundamentals related to the FSC, the digitalization of the food industry, and provides  
68 a definition of digital twins. Then, Section 3 presents the methodological approach for  
69 the literature review. Subsequently, Section 4 evaluates the literature review results and  
70 summarizes the key elements for implementing digital twins. We discuss the potentials  
71 and challenges of digital twins and their implementation in the food supply chain in  
72 Section 5. In Section 6, we discriminate this work against other publications in the field.  
73 Finally, Section 7 concludes this paper with a summary of our results.

## 74 2. Background

75 In the following Section 2.1, we first describe the underlying concept of the FSC,  
76 which we use to differentiate the stages in the food industry and to classify the digital  
77 twin applications in Section 4. Further, the role of digitalization in the food industry  
78 as well as related concepts are described in Section 2.2. Finally, Section 2.3 provides a  
79 definition of digital twins.

### 80 2.1. The Food Supply Chain

81 A supply chain (SC) is a network of actors structured around activities and pro-  
82 cesses that aim to satisfy given consumer demand by bringing products or services to  
83 market [11]. This network includes feedback and circular economy aspects, e.g., for  
84 sustainability reasons as the recycling of materials [12]. The actors within the SC are  
85 linked through upstream or downstream processes and activities that produce value in  
86 the form of finished products or services [11]. In the same sense, a FSC encompasses all  
87 activities involved in the creation and transformation of raw materials into food products



**Figure 1.** A simplified structure of the food supply chain (based on [10]) including the actors used to classify the digital twin applications within the scope of this work. The structure does not show any circular flows or side chains of by-, side-, or co-products, which would result in a value network rather than a straight-forwarded chain.

88 as well as their retail and consumption [10]. FSCs do differ significantly from other SCs  
 89 due to the complexity of producing, transporting, and managing food products [13].

90 Although it is important to consider not only the primary flow but also the tangen-  
 91 tial and secondary flows that are contained within the FSC, as these are opportunities to  
 92 reduce food loss and waste through reuse and recycling [12], we focus on a simplified,  
 93 linear, and straight forwarded structure of the FSC. This is sufficient for this survey since  
 94 the focus is on single activities of the FSC that are present identically in the simplified  
 95 FSC as well as in a circular view. Figure 1 provides an overview of the FSC and the  
 96 main actors, to which the digital twin applications will be assigned. Commonly, the  
 97 FSC begins with *production*, which is usually an agricultural farm, continues with *supply*,  
 98 *processing*, *distribution*, and *retail* and ends with the *consumption*.

99 Worth mentioning is that the stages could be thereby divided into several pro-  
 100 cessing or transportation sub-entities: For instance, Shoji *et al.* [14] investigate the cold  
 101 chain of fruits and vegetables from a (farm to) packhouse to distribution to the retailer.  
 102 The authors divide the transportation steps between the supply from packhouse to  
 103 distribution center and from distribution center to the retailer. In our understanding,  
 104 the packhouse would be part of the *processing* stage and both, the transportation and the  
 105 distribution would be summarized as *distribution*.

106 It is crucial for SCs to be designed with consideration for uncertainty and risk,  
 107 as mitigation measures and solutions must be developed to prevent disruptions to  
 108 the SC [9]. Those disruptions impact the SC's regular flow and affect the other actors  
 109 directly [15]. In particular, the most frequent FSC disruptions are human error, commu-  
 110 nication misunderstandings, organizational process errors, and quality problems with  
 111 goods received [16]. Consequently, disruptions may result in negative effects to the final  
 112 product [9] regarding sustainability, safety, and quality [13].

113 Additionally, several challenges in the FSC occur during different stages [17]: the  
 114 production estimation and optimization in the *production* stage, including the crop  
 115 management and security and the livestock control; the production planning in the  
 116 *processing* stage, regarding the post-harvest loss as well as demand prediction; the  
 117 *distribution*, concerning route planning, prediction of SC risks and disruptions, and  
 118 shelf-life prediction; and the *consumption*, representing consumer behavior, their dietary  
 119 behavior, food loss and waste, or the prediction of the daily demand.

## 120 2.2. Industry 4.0 and Related Concepts

121 "Industry 4.0" is associated with the fourth industrial revolution. It combines  
 122 technologies such as cyber-physical systems (CPSs), Internet of Things (IoT), and cloud  
 123 computing. While the term Industry 4.0 is primarily used in Europe, the similar concept  
 124 "Industrial Internet of Things" (IIoT) mainly used in the US describes advances in big data,  
 125 cloud computing, and networking of machinery and systems in the industrial sector [18].  
 126 Based on CPSs and IoT, in Industry 4.0 manufacturing processes including logistics (i.e.,  
 127 SC management), services, and maintenance are efficiently synchronized [19]. Hence,  
 128 Industry 4.0 does not focus on a single process or technology but integrates all processes  
 129 resulting in a highly flexible and integrated optimized manufacturing process. The  
 130 complete implementation of Industry 4.0 or IIoT would result in the smart factory: an

131 integrated production process that is entirely self-organizing by the connected machines  
132 and intelligent software without any human interaction [20].

133 Further, modern FSCs make increasingly use of integrated information and com-  
134 munication technology (ICT) systems to assist with mitigating against uncertainty and  
135 risk, process optimization, and numerous other applications [11]. In addition, ICT sys-  
136 tems are of particular interest for traceability and decision-making functions within the  
137 FSC [21]. Traceability is important to identify quality and safety concerns and to provide  
138 the food provenance to the consumer and authorities [13]. As described by Zhong  
139 *et al.* [9], traceability systems in FSCs vary greatly depending on region, government  
140 regulations, and digitalization of the FSC. ICT systems as digital twins are able to assist  
141 with decision-making, collaboration, scheduling and planning, logistics management,  
142 and warehouse management within the FSC [22].

### 143 2.3. A Definition of Digital Twins

144 The concept of digital twins first came up during NASA's Apollo 13 mission in  
145 1970 as the ground team used simulators to provide solutions to the spacecraft crew  
146 for landing them safely [23]. The term "digital twin" was first used and defined in  
147 2003 [24]. This concept contains a physical object, a virtual object, and connects data  
148 and information from both. NASA formalized the description of digital twins in 2012  
149 and forecasted its potential in the aerospace sector [25]. Here, the digital twin is defined  
150 as a multiphysics and multiscale simulation of a vehicle or system, which uses the  
151 best physical models, sensor data, and history, resulting in a mirror of the physical  
152 counterpart. The discovery that digital twins might be used in a variety of industries  
153 other than aerospace accelerated its development [26]; especially, it is an important  
154 concept for Industry 4.0 and IIoT.

155 In this paper, we follow the definition of a digital twin provided by the CIRP  
156 Encyclopedia of Production Engineering [27]:

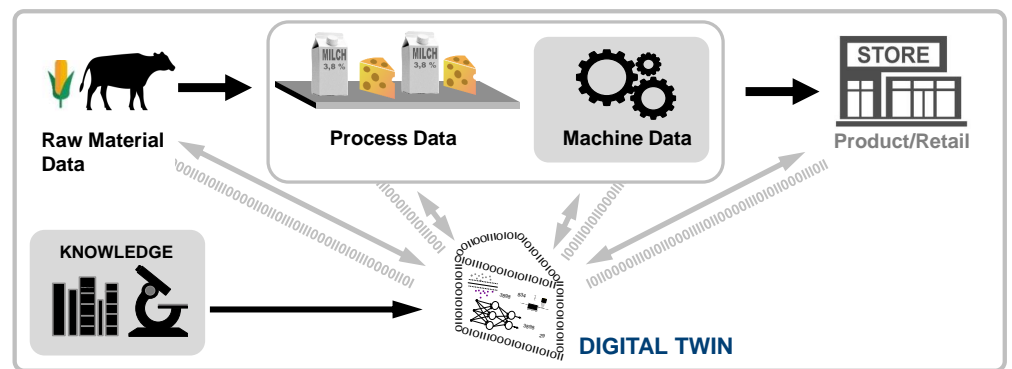
157 *A digital twin is a digital representation of an active unique product (real device, object,*  
158 *machine, service, or intangible asset) or unique product-service system (a system*  
159 *consisting of a product and a related service) that comprises its selected characteristics,*  
160 *properties, conditions, and behaviors by means of models, information, and data within*  
161 *a single or even across multiple life cycle phases.*

162 Therefore, a digital twin virtually represents its real-world counterpart, containing  
163 all its essential properties [8]. It is based on real-world comprehensive data measure-  
164 ments, which form the digital profile of the physical object or process. Consequently, a  
165 digital twin is connected to the real-world object through a continuously updated data  
166 flow [28]. Further, the digital twin is able to simulate the relevant processes and kinetics  
167 accurately [8]. In this sense, a digital twin may be seen as an ever-evolving digital profile  
168 of the past, current, and even future behavior of a process or a physical object and allow  
169 to predict uncertainty in the process steps [28].

170 Defraeye *et al.* [8] define three common principles to digital twins: Firstly, it must  
171 contain all the necessary components and material properties of what it is representing.  
172 Secondly, it can reliably and accurately simulate all relevant processes through the  
173 product life cycle. Finally, the digital twin should be connected with its real-world  
174 counterpart, as this differs a digital twin from simpler models. Communication is  
175 preferred to be realized in real-time, but the data could also flow offline.

176 This is in accordance with Jones *et al.* [29], who define twinning as the synchroniza-  
177 tion of the states of the physical and virtual entities. Additionally, the virtual model  
178 consists of high fidelity. Bottani *et al.* [30] expand this, explaining that a digital twin is  
179 more than the representation of the physical counterpart since the goal of a digital twin  
180 is to replicate all behaviors and relationships of a system and its environment.

181 Further, five technological components enable digital twins [28]: sensors, integration  
182 capabilities, real-world aggregated data, analytical techniques, and actuators. Those  
183 technologies are required to aggregate the different available data sources (mainly related



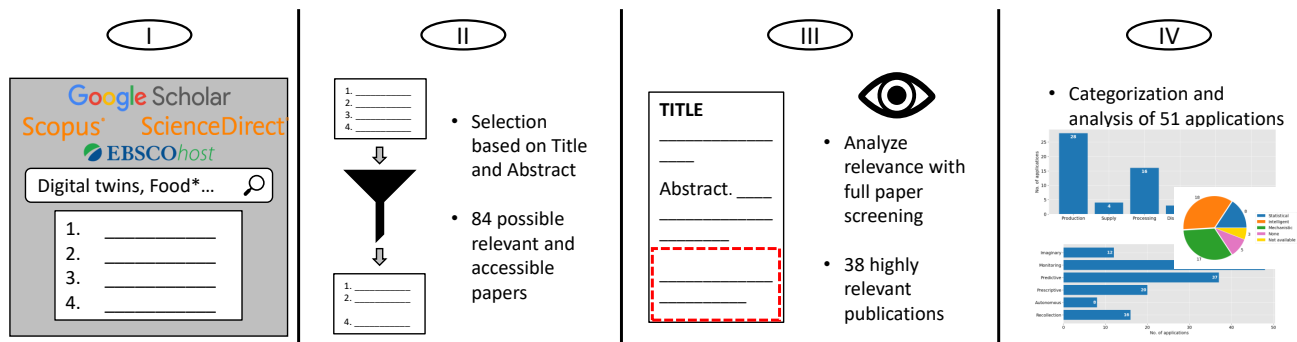
**Figure 2.** Digital (food) twin basic framework within the context of dairy processing

184 to the product and the process) into one comprehensible model of the digital twin as well  
 185 as support the prediction or analysis with a digital twin. Figure 2 presents the diversity  
 186 of potential data resources for a digital (food) twin.

187 A concept closely related to digital twins are CPSs [31,32]. While a digital twin is a  
 188 digital copy of a product or physical system with the intention of performing real-time  
 189 optimization, a CPS merges computational and physical processes to seamlessly support  
 190 humans with intelligence when using machines [33]. CPSs often include digital twins as  
 191 a base for their decision-making processes [34–36].

### 192 3. Methodology

193 The methodology for the survey integrates methods from the guidelines of Webster  
 194 and Watson [37] for a structured literature review and Petersen *et al.* [38] for systematic  
 195 mapping studies. The research is based on the steps shown in Figure 3. In the beginning,  
 196 we framed our aim in the form of research questions. We defined exclusion and inclusion  
 197 criteria and performed keyword-based searches for filtering the articles based on their  
 198 titles and abstracts. The search method was adapted from [37] to cover a wide range of  
 199 publications with regards to regions, fields, and publishers. After identifying the set of  
 200 possible relevant publications, a relevance analysis based on a full paper screening  
 201 performed. Subsequently, descriptions and properties of the digital twin applications as  
 202 well as bibliography data have been extracted and classified as proposed in [38]. In the  
 203 following, we describe these steps in detail.



**Figure 3.** Overview over the methodology for the classification in this survey. Publications found through a key word based search are first selected based on the title and abstract. Afterward, the publications are analyzed and relevant publications are categorized in a previously defined taxonomy.

### 204 3.1. Definition of Research Questions

205 The primary aim of this work is (i) to provide an overview of digital twins applied  
206 in the food sector regarding their intended use and (ii) to identify future research  
207 areas. According to this goal, we derived the research questions. First, we searched  
208 for taxonomies (RQ1) that enable classifying the digital twin concepts that we found  
209 according to their application purpose. As we are primarily interested in which area  
210 of the food industry (i.e., stages of the FSC) digital twins are applied, we decided to  
211 assign the applications found to the stages of the FSC as presented in Figure 1 (RQ2). To  
212 better understand the application's reason for use, we classified the applications found  
213 according to our taxonomy (see RQ1) to answer the research question of how digital  
214 twin can support the activities in the FSC (RQ3). Further, we analyzed the different  
215 types of digital twins that we identified for providing an overview of the different key  
216 elements of a digital twin in the FSC (RQ4). Aiming to show the applicability and the  
217 benefits of implementing digital twins in different stages of the FSC, we conducted the  
218 last research questions. At first, we discuss the potential of digital twins to improve the  
219 food industry (RQ5). Then, we discuss the challenges of implementing digital twins in  
220 the food sector (RQ6). These considerations lead to the following research questions:

- 221 • **RQ1** – How can digital twins be classified?
- 222 • **RQ2** – In which areas of the food industry are digital twins applied?
- 223 • **RQ3** – Which types of digital twins are applied in the food industry?
- 224 • **RQ4** – What are the key elements in implementing a digital twin?
- 225 • **RQ5** – What is the potential of digital twins in the food industry?
- 226 • **RQ6** – What are the challenges in applying digital twins in the food industry?

### 227 3.2. Selection Method

228 To find digital twin applications in the FSC (answering RQ2 and RQ3), we con-  
229 ducted a literature review and included publications available between May and the  
230 end of September 2021. Therefore, we searched the databases Google Scholar, Sco-  
231 pus, ScienceDirect, and Academic Search Complete by EBSCO Publishing. We cre-  
232 ated two groups of keywords: The first group concerned digital twins, including  
233 the keywords “digital twin”, “digital twin application”, and “cyber-physical  
234 systems”, while the second groups provides the relation to the food sector, i.e., consisted  
235 of the keywords “food”, “food supply chain”, “food production”, “food industry”,  
236 and “food sector”. The search was performed by combining each of the keywords of  
237 both groups.

238 Although we see agricultural plants or farms as part of the FSC (*production* stage),  
239 we did not search directly for “digital twin” AND (“agriculture” OR “agrifood”)  
240 since our main focus is on the food quality related to the food processing. Therefore, the  
241 food processing and the transportation stages (supply and distribution), as well as the  
242 retail, are from special interest rather than the primary food production since the food  
243 quality parameters are ultimately adjusted during the processing. After the processing  
244 stage, all actions, e.g., cooling, serve to maintain and guarantee the food quality until  
245 consumption. Still, we did not discard works related to the agriculture sector when  
246 found with our set of keywords.

247 Additionally, we added publications to our list, which we did not find directly were  
248 referred by other publications and possibly relevant for this research (backward search).  
249 In the literature search process, we also identified reviews, e.g., [29,39–41]. However, as  
250 we wanted to avoid the misinterpretation or incorrect reproduction of information, we  
251 rather included the original publications or sources of such reviews. Additionally, this  
252 ensures that we do not include different points of view for the same application.

253 Furthermore, we performed a free web search with Google and DuckDuckGo to  
254 find examples for digital twins related to the FSC applied in the industry. Although  
255 this search provided many results, we only included a few of them [42–47] as the found

256 information was often not precise enough to analyze in detail required for a classification  
257 with our taxonomy.

### 258 3.3. Analysis Method

259 The authors selected the publications based on the title and abstract. Additionally,  
260 the entire paper was searched to overcome the disadvantages of a keyword-based search.  
261 Each publication was reviewed and applications found were classified according to  
262 the taxonomy by one of the authors by screening the complete paper. Afterward, each  
263 publication, as well as the classification, was reviewed by another of the authors. If an  
264 application was classified differently, a third author also reviewed the classification, and  
265 the classification was discussed by all authors.

266 The focus of this work is on digital twin applications related to foods, food products,  
267 and their quality. Therefore, the publications needed to contain a specified description  
268 of a digital twin application and terms related to “food” (see Section 3.2). Further,  
269 we included publications with regards to food products or their quality, meaning we  
270 included digital twins of field monitoring applications, animal monitoring applications,  
271 and processing machines as well, which we found through the search. We investigated  
272 applications that were already realized and implemented as well as concepts for digital  
273 twins if the provided description was sufficient enough for the analysis.

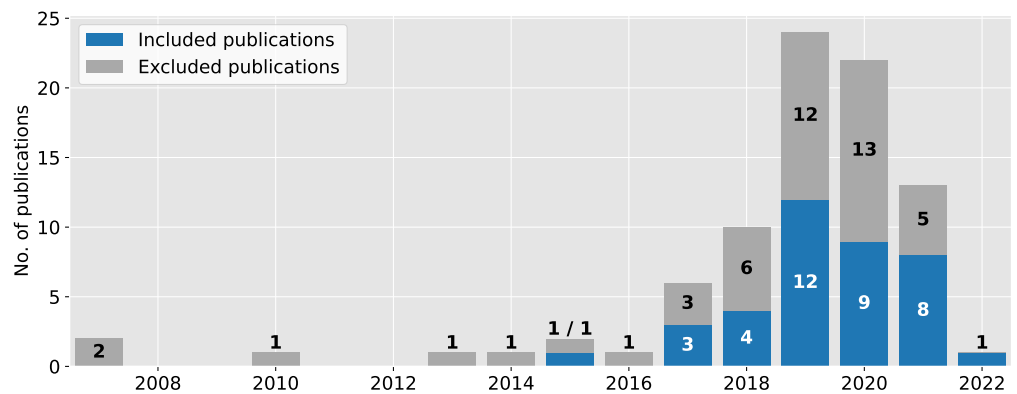
274 A few publications found were located in the periphery of foods, food products,  
275 and their quality. For instance, Linz *et al.* [48] and Tsolakis *et al.* [49] describe digital  
276 twin applications of agricultural machines and robots, whereas the digital twins are  
277 used for route planning. Furthermore, Jo *et al.* [50,51] propose a digital twin for a pigsty  
278 to control the energy demand while adjusting the ventilation and temperature. Other  
279 publications provided too little information about the digital twin, although they were  
280 strongly related to our research, e.g., [31] and [52]. Since we were not able to classify  
281 them, we did not include those in our evaluation.

282 For some works, we found subsequent publications extending the originally pre-  
283 sented digital twin. We added such follow-up publications as dedicated digital twin  
284 applications as they develop within the projects or the available information concerning  
285 the applications differed in the papers. Further, the originally published digital twin  
286 might be sufficient for some applications. In particular, those publications were from  
287 Skobelev *et al.* [36,53,54] concerning (wheat) plants; from Defraeye *et al.* [8,55], Shoji *et al.*  
288 [14], and Tagliavini *et al.* [56] regarding fruits; and from Bottani *et al.* [30] and Vignali  
289 and Bottani [57] relating to a pasteurizer.

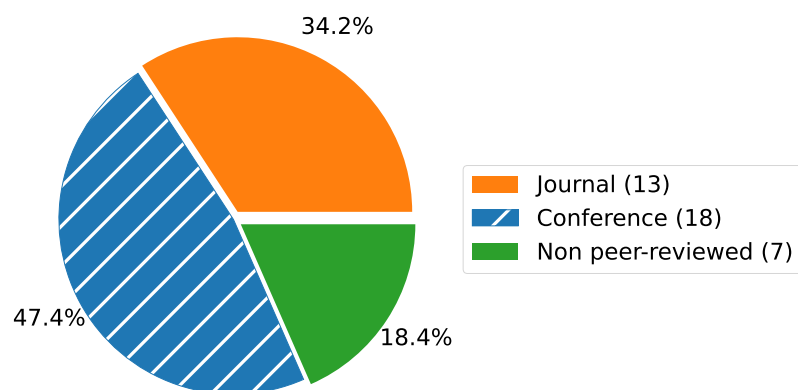
### 290 3.4. Selected Studies

291 In total, we studied 84 publications, from which we included 38 publications after  
292 the application of the inclusion and exclusion criteria. The publication range spanned  
293 works from 2007 to 2022. Worth mentioning is that the publication from Shoji *et al.* [14]  
294 is assigned to 2022 since this is an online first available publication. Figure 4 reveals  
295 that the number of publications increased during the last years. In 2019, we observed  
296 a peak with 24 publications (12 included). In the years 2020 and 2021, the number  
297 of publications is slightly decreasing, counting 22 (9 included) and 13 publications  
298 (8 included), respectively. A reason for this decrease could be the Covid-19 pandemic  
299 and the inclusion of publications available until the end of September 2021.

300 From the selected publications, the major proportion was originally published at  
301 conferences and journals, 47.4% and 34.2%, respectively (see Figure 5). Further, we  
302 included non peer-reviewed publications (18.4%) from press releases (2 publications),  
303 books, white papers, websites, reports, and project announcements (all one publication  
304 each). The inclusion of non-scientific publication types is appropriate for several reasons:  
305 Digital twins are still a rather young research topic, particularly in the food sector. In  
306 addition, the research is highly driven by the industry since the implementation of  
307 digital twins is strongly practice-oriented. However, non-scientific publications often do



**Figure 4.** Overview of included (in blue) and excluded (in gray) publications per year. In total, we included 38 of 84 identified publications regarding the analysis of digital twin applications in the food industry.



**Figure 5.** Share of the publication type of 38 included publications

308 not provide sufficient details for a classification; hence, this number of included works is  
 309 limited.

#### 310 4. Results

311 This section answers the research questions on how to classify digital twins (RQ1),  
 312 in which areas of the food industry digital twins can be found (RQ2), what types of  
 313 digital twins are applied (RQ3), and which key elements are required to implement  
 314 digital twins (RQ4). First, we examined different classification schemes and derived  
 315 the best fitting taxonomy for our research by combining different existing classification  
 316 schemes (Section 4.1). Second, we analyze in which activities of the FSC digital twins  
 317 are applied (Section 4.2). In Section 4.3, we investigate which types of digital twins are  
 318 applied in the FSC based on our results of RQ1. The classification of all applications  
 319 included in this section can be found in the Appendix (see Table A1). Finally, Section 4.4  
 320 summarizes the key elements for the implementation of digital twins.

##### 321 4.1. Classification of Digital Twins

322 Since digital twins have no unique and standardized taxonomy, this section pro-  
 323 vides an overview of different classification approaches and classifies their relevance for  
 324 our work. This answers the first research question:

325

326 **RQ1** – How can digital twins be classified?

327



328 The classification approaches differ in the authors' focus on digital twins. The  
329 authors of [58] differentiate the terms digital model, digital shadow, and digital twin  
330 based on the data flow between the physical and digital object. A digital model is defined  
331 by a manual data flow between both objects, where the data flows automatically from  
332 the physical to the digital object and manually from the digital to the physical object  
333 in a digital shadow. The data flow in a digital twin is automated between both objects,  
334 which may serve as the controller of the physical object.

335 In [59], digital twins are classified depending on the application level. The so-  
336 called unit-level describes the lowest layer and contains single units of the processing  
337 procedure, e.g., equipment or a machine. The system-level consists of several unit-level  
338 digital twins and can be understood as a production unit (e.g., a production line), while  
339 the System-of-System-level is the highest layer and able to capture complex systems  
340 (e.g., the shop-floor management system).

341 The authors of [39] differ between service categories, meaning the use case of a  
342 digital twin. These categories are real-time monitoring, energy consumption analysis,  
343 system failure analysis and prediction, optimization/update, behavior analysis/user  
344 operation guide, technology integration, and virtual maintenance. They further distin-  
345 guish the technology readiness level (TRL) between the levels *concept*, *prototype*, and  
346 *deployed*. Jones *et al.* [29] classify digital twins according the product's life-cycle phases  
347 *imagination*, *definition*, *realization*, *support/usage*, and *retirement/disposal*.

348 However, we use a combination of the following two schemes as taxonomy since  
349 we are interested in the techniques behind the digital twins and the intended use of the  
350 digital twins. According to [8], a digital twin can be statistical, data-driven (intelligent),  
351 or physics-based (mechanistic). The first type is based on statistics, where an analytical  
352 model is solved with an ordinary differential equation or a simpler analytical equation.  
353 The intelligent digital twin is a data-driven model that relies on artificial intelligence  
354 techniques, e.g., machine learning (ML), for model development, calibration, verification,  
355 and validation. Mechanistic digital twins are based on physics. Hence, they are also  
356 called physics-based digital twins. These models concern all relevant physical, biochem-  
357 ical, microbiological, and physiological processes using multiphysics modeling and  
358 simulation. Several authors [4,60] mention that only a mechanistic digital twin is able  
359 to mimic the behavior of the real-world counterpart realistically and comprehensively.  
360 Therefore, a mechanistic digital twin is preferable for predictions. Worth mentioning  
361 is that intelligent digital twins also consider statistical methods. Further, the model  
362 parameters used in mechanistic digital twins can be quantified, verified, and validated  
363 with statistical and ML methods.

364 In [8], the authors presented the types in a triangular structure containing the types  
365 statistical, intelligent, and mechanistic twins as corners. Therefore, the type of a digital  
366 twin could be assigned to corners as well as edges or in between. However, we decided  
367 to classify the digital twin applications according to their prevailing type, i.e., there are  
368 not any mixed types.

369 The second classification scheme is similar to [29] since it represents the product's  
370 life-cycle phases. Following the approach by Verdouw *et al.* [61], digital twins can be used  
371 to characterize and simulate the states and behavior of their real-life twins, which do not  
372 exist at a specific point in time. Further, digital twins may be used to monitor the current  
373 state of items, prescribe desired states, forecast future states, and automatically react  
374 to conditions of their real-world counterparts and, therefore, control systems without  
375 human interaction. Finally, digital twins are also able to outlast real-world objects,  
376 and they can be used to recollect their historical conditions. Worth to mention is that  
377 these categories can coexist within the same digital twin application. Table 1 provides  
378 a detailed description of the different categories, we used to classify the digital twin  
379 applications within the context of this work.

380 It is notable that the definition of a digital model [58] corresponds to the definition  
381 of an *imaginary* digital twin [61]. Additionally, the categories by [39] and [61] are similar,

**Table 1.** Digital twin taxonomy (based on [8] and [61])

Type	Description	
Statistical	Solving a simple analytical equation or an ordinary differential equation (ODE) for calculations with the generated data.	
Intelligent	Use of artificial intelligence techniques, e.g., machine learning, for model development, calibration, verification, and validation.	[8]
Mechanistic	Performance of multiphysics modeling and simulation to capture the relevant physical, biochemical, microbiological, and physiological processes.	
Imaginary	Simulates objects that do not physically exist in the real-world at the given time.	
Monitoring	Monitors the current state and behavior of a real-life, physically existing counterpart.	
Predictive	Projects future states and behavior of a physical object based on real-time data.	
Prescriptive	Are able to intelligently recommend corrective and preventive actions while using the results of monitoring and predictions.	[61]
Autonomous	Control autonomously the behavior of the real-world counterparts without human intervention.	
Recollection	Maintains the complete history of physical objects, which no longer exist in real-life.	

382 but since Pylianidis *et al.* [39] focus more technical approaches, the approach by Verdouw  
 383 *et al.* [61] is used in this work.

#### 384 4.2. Applications of Digital Twins in the Food Supply Chain

385 In Section 3.4, we observed that the number of publications increased in recent  
 386 years. Accordingly, the number of digital twin applications increases as well. This  
 387 section answers the second research question regarding the stage in the FSC where the  
 388 digital twins are applied:

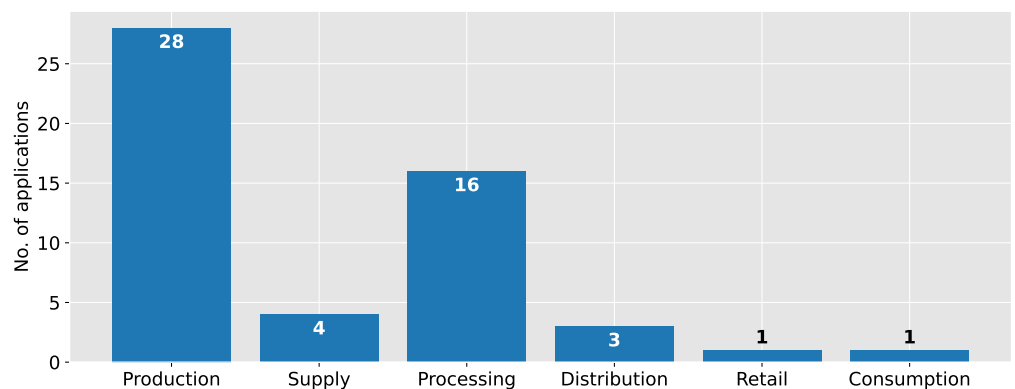
389 **RQ2** – In which areas of the food industry are digital twins applied?  
 390

391  
 392 Figure 6 provides an overview of the absolute frequency of applications per stage  
 393 in the FSC. The major proportion of digital twin applications could be found in the  
 394 production stage, often referred to as agricultural applications (54.90%). Many applica-  
 395 tions focus on the growth of plants [36,42,53,54,61–64] or monitoring the condition of  
 396 animals [23,61,64–66]. Further, entire production systems as greenhouses or fields are  
 397 twinned [34,43,44,61,62,67–71]. Several applications could be described as supportive,  
 398 e.g., to monitor and control pests [35,65].

399 The second most frequently assigned stage is the processing stage (31.37%). In this  
 400 stage, the digital twins mainly concern processing machines, as pasteurizer [30,57] or  
 401 packaging machines [45,72], or entire processing systems [6,45,73–76]. A few use cases  
 402 focus on the optimal product composition or quality [45,46,77].

403 Applications during transportation, in particular, the stages supply and distribu-  
 404 tion (7.84% and 5.88%, respectively), determine the quality of fruits and vegetables with  
 405 a focus on measuring the temperature [8,14,47,55,56,78]. Only one application could be  
 406 assigned to the retail stage (1.96%), where it is used to determine the quality of fruits  
 407 and vegetables as well as the remaining shelf-life [47]. Furthermore, one application is  
 408 assigned to the consumption stage (1.96%). This application aims to twin a consumer to  
 409 design food products, which are personalized to adapt foods in case of genetic disorders,  
 410 such as diabetes mellitus [79].

411 It should be noted that two applications were assigned to multiple stages: While the  
 412 digital twin of a mango fruit to determine the quality during transportation was assigned



**Figure 6.** Results of the literature review – Absolute frequency of digital twin applications assigned to stages in the food supply chain. As there are applications [47,56] assigned to several stages, the total number of counts is 53 although 51 applications were found in 38 publications.

413 to supply and distribution stages [56], the digital twin concept for the determination of  
 414 the quality of fruits and vegetables was assigned to the distribution and retail stages [47].

#### 415 4.3. Types and Categories of Digital Twins in the Food Supply Chain

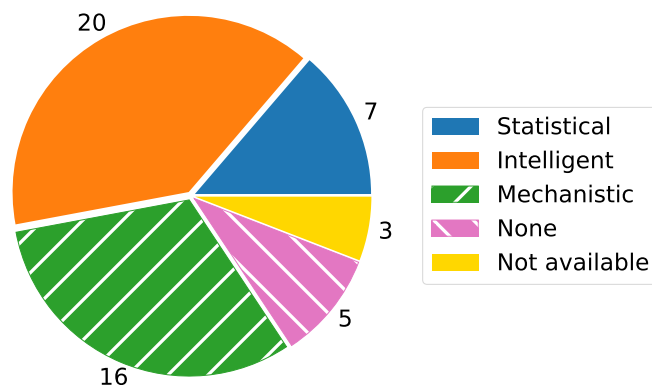
416 In addition to the stages in the FSC, where a digital twin is applied, the applications'  
 417 intentions of use are of special interest. In Section 4.1, we specified a taxonomy regarding  
 418 both the digital twin techniques and the intended use. It is necessary to note that in the  
 419 case of the taxonomy regarding the intended use, the applications could be classified  
 420 into several categories. Regarding the digital twin technique, applications could only  
 421 be assigned to one type. In contrast to the previous Section 4.2, applications were not  
 422 counted twice if they were assigned to multiple stages of the FSC. Hence, this section  
 423 answers the third research question:

424  
 425 **RQ3** – Which types of digital twins are applied in the food industry?  
 426

427 Figure 7 shows the classification of the digital twin applications regarding their  
 428 different types. Most of the digital twin applications are classified as intelligent or  
 429 data-driven (39.22%). These applications are used for monitoring and controlling plant  
 430 growth environments, in particular greenhouses or fields [34,42,68,71]; the twinning of  
 431 plants during growing itself [35,65]; the detection of pests and actions to tackle them [65];  
 432 the monitoring of animals [23]; or the determination of shocks and the adaptation  
 433 of process parameters during potato harvesting [61,78,80]. In addition, applications  
 434 concern the monitoring of cattle with regards to their health, dairy productivity, or  
 435 growth (weight gain for meat production) [61,65,66] and the control of food processing  
 436 parameters [75]. The applications use clustering methods to determine the states and  
 437 conditions of animals and plants and to classify pests, and further ML techniques to  
 438 improve the system continuously.

439 Almost the same proportion of applications are used for simulation, based on  
 440 mechanistic or physics-based models (31.37%). Many use cases regard either the plant  
 441 and animal growth in the production stage [36,53,61,62,64,69] or the monitoring of food  
 442 processing, e.g., a pasteurizer, an ice cream machine, pudding production, malting, or  
 443 the packaging design concerning special product properties [30,45,57,73,74,76]. More  
 444 digital twins focus on fruit and vegetable quality during supply by measuring the  
 445 surface temperature and calculating the pulp temperature based on that [14,56]. All the  
 446 applications mentioned in this category could be described well with known models.

447 Further, some applications are based on statistics (13.73%). In this category, many  
 448 use cases focus on the control of food processing [6,45,46,72]. Other applications regard  
 449 the design and personalization of food [77,79], or the twinning of a wheat plant [54]. All



**Figure 7.** Results of the literature review – Share and absolute frequency of digital twin types found in 38 publications.

450 digital twins are based on statistical methods using means and standard deviations for  
 451 conclusions and predictions.

452 It should be noted that there are some applications (15.69% in total), which are not  
 453 classified to any type [45,65,67] or the classification was not possible due to a lack of  
 454 information [43–45].

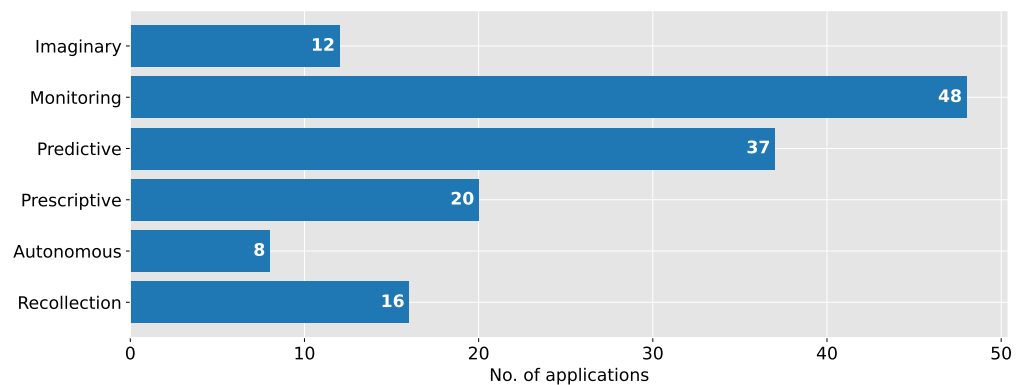
455 Figure 8 shows the categorization results of the digital twin applications with  
 456 regards to their intended use. We observed that nearly all applications (94.12%) are  
 457 used for monitoring their real-life counterparts. Only three use cases have not been  
 458 classified in this category; those target applications for the design of new food products  
 459 and food packaging [45] and the weight gain of cattle for the meat and livestock value  
 460 chain [66]. We conclude that this observation makes sense since monitoring the physical  
 461 objects is often the base for further predictions or decision-making. However, only  
 462 32 applications (62.75%) are working with real-time data.

463 Additionally, many applications are used for predictions (72.55%). Use cases, which  
 464 are not predicting, are mainly used for real-time monitoring and decision-making. These  
 465 cases concern the detection of pests, the control of plant growth environments based  
 466 on current growing conditions, e.g., the temperature or humidity [34,44,63,67,68], the  
 467 monitoring of animals [23,65], the control of food processing [74], and the design of  
 468 products [45].

469 The predictions could be used to suggest corrective or preventive actions (39.22%).  
 470 Since most of the applications found are assigned to the production stage, many prescrip-  
 471 tive digital twin applications belong to applications only able to assist in agricultural  
 472 plants to enhance the quality during growth and harvest processes [42,43,61,62,64,69,  
 473 70,78,80]. Another prescriptive digital twin is applied in a pudding production system  
 474 to assist in production planning [73]. Further use cases only recommend actions rather  
 475 than fully automatizing the system [75]. Examples are the personalized design of foods  
 476 regarding genetically caused diseases [79] or the design of food packaging [45].

477 A minor amount of digital twins (15.96%) are integrated into systems working  
 478 autonomously. The applications automatically control greenhouses by adjusting pa-  
 479 rameters like temperature or light [34,42,44] or processing plants by controlling, among  
 480 others, the workflow or specified processing parameters as temperature [45,75].

481 Some digital twins found were used for forecasting and simulating objects that  
 482 were presently non-existent (23.53%). This category includes applications for the design  
 483 of food products and raw materials [53,77] as well as food packaging and production  
 484 plants [45,67]; applications to predict shelf-life and the food quality [47,56,66]; and  
 485 applications to control the process flow [6,72,74,75]. The application of imaginary digital  
 486 twins enables the avoidance of expensive mistakes [75] and detailed planning [67].



**Figure 8.** Results of the literature review – Absolute frequency of digital twin categories found in 38 publications. It should be noted that the total number of counts is not equal to the number of applications since they are not restricted to one category.

487 Recollective digital twins, that maintain the complete history of physical objects  
 488 (even if those do not longer exist), can be found in all stages of the FSC (31.37%). Some  
 489 applications use the stored information for learning and improving the system [34,53,54,  
 490 61–63,68,78,80]. Other applications were implemented to better document the processes  
 491 and quality parameters of the physical objects [6,30,47,57,74,76,79]. It should be noted  
 492 that due to a lack of information, many applications could not be classified in this  
 493 category [23,42–46,56,65–67,69–71,73,75].

#### 494 4.4. Key Elements for Digital Twin Implementation

495 In the previous sections, we describe our observations that the implementation of  
 496 digital twins varies in the different stages of the FSC as well as the intention of use within  
 497 a specified stage. The major proportion of digital twins are applied in the (primary) pro-  
 498 duction and the processing stage. Especially in the distribution, retail, and consumption  
 499 stages only a few applications have been found. In addition, different types of digital  
 500 twins have been found. To investigate how to improve the food quality in the FSC using  
 501 digital twins, necessary components to apply digital twins need to be identified. Hence,  
 502 this section answers the following research question:

503

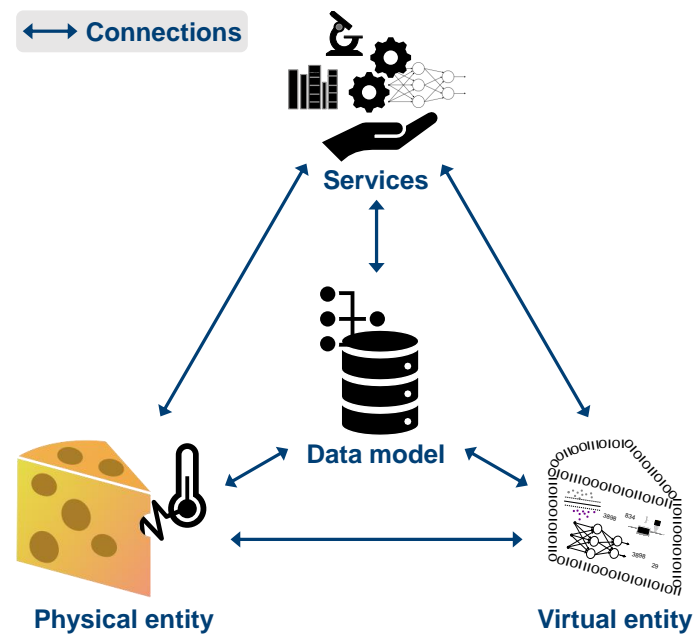
504 **RQ4** – What are the key elements in implementing a digital twin?

505

506 First of all, there must be a motivation to implement a digital twin. Some digital  
 507 twins are motivated by production and market reasons, e.g., to cope with a higher  
 508 demand for more flexibility in the production to adapt to new market demands, such as  
 509 clients requesting more products that meet unique nutritional standards and packaging  
 510 sizes [6]. Moreover, the constant increase in business competition challenges companies  
 511 to look beyond cost reductions and improve quality and productivity [81]. In particular,  
 512 food processing industries are battling with low-profit margins while being challenged to  
 513 reduce time-to-market and develop new, flexible processes for a wide range of goods [6].

514 Another motivation arises out of the demand for more transparency to stakeholders,  
 515 trust, and ownership of the processes [4]. Finally, some drivers are employee-related,  
 516 such as offering training based on virtual reality applications that benefit from the data  
 517 of the models in digital twins [81] and improving employee safety by detecting potential  
 518 workplace hazards with digital twins [30,81].

519 Every digital twin implementation starts with a process design in which all pro-  
 520 cesses and interaction points are mapped that a digital twin will be modeling [28].  
 521 Improvements with regard to cost, time, or asset efficiency are augmented in this design  
 522 process.



**Figure 9.** The five-dimensional digital twin concept (adapted from [84]): Digital twins consist of a physical as well as a virtual entity, which are supported by several services. Data are fused and stored centralized. These four dimensions must be connected with each other, creating the fifth dimension.

523 However, up to now, there is no consensus regarding a generic method in the  
 524 realization of digital twins that can describe its implementation and the data acquisition  
 525 from the physical to the virtual object [26]. Therefore, the authors of [82] proposed a  
 526 digital twin model based on five dimensions (see Figure 9):

- 527 • **Physical entity:** The physical world is the basis. The physical entity can be a device  
 528 or product, a system, a process, or even an organization [83]. It carries out actions  
 529 following physical regulations and deals with environmental uncertainty.
- 530 • **Virtual entity:** The digital model is generated to replicate the physical geometries,  
 531 properties, behaviors, and rules of the physical entity. Therefore, multiple models  
 532 can be considered [84].
- 533 • **Service platform:** Decision-support analyses support the monitoring and optimiza-  
 534 tion of the physical entity with simulations, verification, diagnosis, and prognosis  
 535 as well as prognostic [81,83]. Further, the virtual entity must be served with data,  
 536 knowledge, and algorithms, and the platform itself needs to be served, e.g., with  
 537 customized software development and model building.
- 538 • **Data model:** The data is stored in the data model [81]. Since the digital twin  
 539 considers multi-temporal scale, multi-dimension, multi-source, and heterogeneous  
 540 data [83], the data model includes and merges data from the physical entity, the  
 541 virtual entity, services, and knowledge [84].
- 542 • **Information connections:** All dimensions need to be connected to ensure com-  
 543 munication and update the information immediately [81]. This enables advanced  
 544 simulation, operation, and analysis [83].

545 Barni *et al.* [85] describe four best practices for the implementation of a digital  
 546 twin: First, the entire product value chain should be included to ensure data exchange  
 547 and consistency. Second, the virtual models should be kept dynamic through the  
 548 development of well-documented methods for model generation and modification.  
 549 Third, it should be ensured that data from several sources are included to measure the  
 550 different variables and all essential properties of the physical product and the system  
 551 (process, actuators, inputs, outputs, and environment) [4]. The exact combination of

552 relevant data is often unknown a priori when the first model is developed; accordingly,  
553 the design of the digital twin must offer modularity and scalability [85]. Fourth, long  
554 access life cycles should be ensured in order to address a long-term convergence within  
555 the physical and the virtual world. The approach by [73] reinforces this through a  
556 knowledge acquiring digital twin.

557 Thus, the accessibility and continuous flow of near real-time data are important [23].  
558 The data generation can be achieved with sensors [8] and the use of IIoT technology [86].  
559 In addition, data processing and data evaluation or interpretation are of high rele-  
560 vance [23], leading to the requirement of sufficient computational performance to handle  
561 big data volumes [4]. Therefore, data transfer technologies are required to provide  
562 high-speed data gathering from huge amounts of remotely sensor data and transfer it in  
563 real-time within a network, e.g., Bluetooth, LoRaWAN or 5G [8].

564 The core of a digital twin is based on modeling [86]. Therefore, physics modeling  
565 (geometrical, mechanical, material, hydrodynamic, and discrete event models), semantic  
566 modeling (ML models, deep learning, data mining expert system, and ontology model-  
567 ing), and model integration (flexible modeling, standard interface, black-box, gray-box,  
568 and multiphysics modeling) are used. ML techniques or artificial intelligence support  
569 data analysis and data fusion enabling efficient processing and interpretation of a large  
570 amount of data [81,87]; further, those techniques can continuously improve the per-  
571 formance of the system [23]. A key element is a human-machine interface, where the  
572 human user can easily interact with and understand the digital twin's information [23].  
573 This is particularly important if the digital twin recommends corrective and preventive  
574 actions.

575 In conclusion, the implementation of digital twins requires multidisciplinary knowl-  
576 edge [31], especially from food science. For instance, this includes microbiological,  
577 physical, chemical, and engineering disciplines as well as knowledge for efficient process  
578 management. Further, ICT is required. Commonly, ICT today is used in the FSC to  
579 connect the different stakeholders in the different stages through data exchange. In the  
580 future, the support of automated data collection with IoT technology and efficient data  
581 analysis, mainly using ML, will have increased importance.

## 582 5. Discussion

583 The survey results revealed large differences in the use of digital twins depending  
584 on the stages of the FSC: The major proportion of digital twins are applied in production  
585 and processing. Further, nearly all applications are used for monitoring, and many  
586 applications predict future states of their physical objects. However, only a few digital  
587 twins recommend actions or control systems fully autonomously, i.e., refer to prescriptive  
588 or autonomous digital twins, respectively. In addition, key elements to implement digital  
589 twins were investigated. To better understand the reasons, we primarily discuss the  
590 potentials of digital twins in the food industry (Section 5.1). Subsequently, this section  
591 discusses the challenges in implementing digital twins (Section 5.2). Section 5.3 closes  
592 this discussion with threats to validity.

### 593 5.1. Potentials of Digital Twins in the Food Industry

594 As shown in the previous sections, we identified in our literature review several  
595 potential ways to optimize the FSC with digital twins. This resulted in the following  
596 research question:

597  
598 **RQ5** – What is the potential of digital twins in the food industry?  
599

600 In general, digital twins enable data accessibility and advanced analytics in real-  
601 time to assist in more informed, efficient, and faster decision-making [88]. Sensor data are  
602 fed into a digital twin that runs food process models (i) for providing relevant product  
603 process information and operation outputs in real-time for process control, troubleshoot-

604 ing, and supply chain management, as well as (ii) to optimize processes for uniformity,  
605 performance, and sustainability or to develop new designs [4,89]. Furthermore, this  
606 results in better risk assessment and mitigation strategies based on what-if analyses and  
607 simulations [88].

608 Current approaches in Industry 4.0 focus on the intelligent collection of data with  
609 IoT technology and its analysis with ML algorithms [90]. This includes a variety of data  
610 sources, including raw material data, machine data, or customer data. Digital twins  
611 enable deeper insights due to the use of multi-sensor networks (sensor fusion), where  
612 different sensors measure several parameters from different locations [52,60].

613 As stated before, sensors are required to provide data (environmental, process,  
614 machine, etc.) for the digital twins (see Section 4.4). With the development of smart  
615 sensors, monitoring the states during processes gets easier and faster [4]. Further, sensors  
616 become cheaper, need less power, and transfer the data wireless, which enables their use  
617 in more applications, even in mobile settings.

618 For instance, intelligent packaging can directly share the quality and current condi-  
619 tion of a food product on the packaging during the distribution, retail, and consumption  
620 stages [91]. Intelligent packaging consists of intelligent materials or objects, which  
621 are defined by their behavior of monitoring “the condition of packaged food or the  
622 environment surrounding the food” [92]. Therefore, sensors are integrated into the pack-  
623 aging [91] to monitor, e.g., the temperature, the pH value, the humidity, the pressure on  
624 the food, or vibrations during transportation [93]. Further, gas sensors can measure the  
625 concentration of carbon dioxide (CO<sub>2</sub>) or hydrosulfuric acid (H<sub>2</sub>S) to allow concluding  
626 the current condition of the food [91]. An example of how to produce near zero-cost gas  
627 sensors is given by Barandun *et al.* [94]. Biosensors are able to detect pathogens or toxins  
628 in bacteria-contaminated foods [95].

629 Likewise, integrating nuclear magnetic resonance (NMR) and other spectroscopy  
630 methods as well as imaging techniques [4] in conjunction with artificial intelligence and  
631 especially ML enables machine or computer vision. Such algorithms can analyze the  
632 food and are able to determine its composition, condition, and quality issues as spoilage,  
633 contaminants, or defects [96,97]. Furthermore, by placing virtual sensors on the digital  
634 twin model, sensor data from locations that would usually not be accessible to sensors  
635 can be generated [8]. Virtual sensor data are software-based outputs of fused data from  
636 physical sensors [98]. The application of physical sensors is limited by noise, interference,  
637 or unfeasibility due to spatial conditions [98] or locations difficult to access [8]. Virtual  
638 sensors provide data measurements of parameters or locations, which are physically not  
639 measurable [98]. This application enables the detailed prediction of food losses and the  
640 remaining shelf-life of the food products [60].

641 Further, production planning can be optimized with ML in this context [99]. The in-  
642 dustry demands the possibility to adapt to current market demands as unique nutritional  
643 standards and packaging sizes and, therefore, require a higher production flexibility [6].  
644 This means not only being able to produce a wide range of products also counting with  
645 the capacity to reschedule the production dynamically [81]. The analysis and prediction  
646 of SC disruptions can be used to assist this [5,100], although the mentioned references  
647 focus on more economic aspects of these disruptions. Proactive adaptation improves  
648 system performance as it forecasts adaptation concerns (e.g., through identification of  
649 patterns in historical data) and reacts either by preparing an adaptation or adapting [101].  
650 Autonomous systems can respond to changes in the state during ongoing operation,  
651 while digital twins can integrate a variety of data like environment data, operational  
652 data, and process data [26,102]. This also includes supplying different stakeholders in  
653 the FSC with actionable real-time data, such as the remaining shelf-life for each shipment  
654 (based on the product’s physical, biochemical, microbiological, or physiological states),  
655 on which logistics decisions and marketing strategies can be adjusted [8,88].

656 Another use case is predictive maintenance of machines [103]. Digital twins are  
657 able to show the evolution of the process in each element of a production or process-



658 ing machine without the need to halt the process or open the system to examine its  
659 state physically [30]. Faults in the system can be spotted significantly earlier thanks to  
660 intelligent data analysis [88], leading to more efficient approaches for predictive main-  
661 tenance, which is made before faults or failures occur [104]. This can be considered in  
662 production planning and decrease down-times. Further, virtual reality and augmented  
663 reality can be based on digital twins and support training and maintenance or repair of  
664 machines [89,105].

665 Digital twins are also useful during product and process design, where actual moni-  
666 tored sensor data allow to check for conformance of the product specifications with the  
667 design intent and customer requirements [8,106,107]. Additionally, tests on prototypes  
668 can be replaced by simulations on the digital twin, which results in a reduction of costs,  
669 time, and resources [77,104,108]. Regarding the complete product life cycle, digital twins  
670 also respect the disposal of the packaging and food remains and, therefore, consider sus-  
671 tainability aspects [104]. Aiming to achieve a sustainable FSC, digital twins can optimize  
672 the environmental impact as a consequence of the growth of production systems [109].

673 Digital twins facilitate the collaboration of cross-functional teams [88]. They can  
674 be used to clarify specifications with suppliers and optimize designs. If the company  
675 develops a new digital twin with every product, each model will comprise data on the  
676 precise components and materials used in the product, configuration options specified  
677 by end consumers, as well as process conditions experienced during production [110].  
678 Moreover, digital twins are able to assist in terms of personalized nutrition by adjusting  
679 product recipes in response to changes in consumer preferences; designing products  
680 with a specific chemical composition, nutritional value, and functional orientation; and  
681 developing functional, specialized products tailored to the needs of small groups of  
682 people that will assist in lowering the risks of disease in those who already have it,  
683 as well as satisfy the demands of those who want to tailor their diet to their specific  
684 needs [77,79].

685 Furthermore, digital twins can enhance food safety by improving product traceabil-  
686 ity [111] through the possibility to identify problems in real-time and to record this by  
687 storing shipment condition data [8]. Worth mentioning is the approach by Botta *et al.*  
688 [111], combining a blockchain-based verifier with the digital twin application to validate  
689 and secure the data. Further, digital twins could assist regulatory organizations with  
690 providing useful data to avoid delays in import and export or companies during the  
691 application of the Hazard Analysis and Critical Control Points (HACCP) concept to  
692 suggest control points and remedial actions [8].

## 693 5.2. Challenges in Implementing Digital Twins in the Food Industry

694 The implementation of a digital twin consists mainly of the following key elements:  
695 a real-life object or process, which should be twinned; a virtual model of the real-life  
696 counterpart, including all its essential properties; and a linkage between both [8,30,84].  
697 Further, technical components are required to sense the physical entity and adjust the  
698 virtual entity accordingly or to store and process data. The extent of applications differs  
699 in the stages of the FSC, although digital twins provide potentials in the food industry  
700 as discussed in the previous Section 5.1. Hence, this section addresses the following  
701 research question:

702

703 **RQ6** – What are the challenges in applying digital twins in the food industry?

704

705 One of the major challenges of implementing digital twins is the lack of a gener-  
706 al method, which describes how to gather the information from the physical to the  
707 virtual object [4,26,89]. Koulouris *et al.* [6] state that the specific characteristics of the  
708 food sector and high-value product industries, such as specialized equipment, com-  
709 ponent complexity, and high-quality standards, are responsible for the delay in the  
710 adoption of process simulation for design and modeling. Thus, the individual projects

711 for implementing a digital twin lead to higher investment costs due to the diversity of  
712 approaches and, therefore, are particularly challenging in smaller companies and poorer  
713 countries [4,26,112].

714 In addition, the complexity and variability of raw materials and their properties  
715 used to create food products, and the limited shelf-life not only of food raw materials but  
716 also the products made of it are limiting the application [4,6]. Further, plants, processes,  
717 and knowledge are continuously changing environments, forcing the related digital  
718 twins to improve permanently [73]. Moreover, the lack of “multi-spatial/time scale  
719 models” from the current modeling technologies limits the representation of behaviors,  
720 features, and rules at the diverse levels and granularities of the spatial scale and the  
721 characterization of the dynamic process of physical entities from different time scales [83].

722 The absence of good physicochemical data is presented as another major impediment  
723 to the use of modeling and simulation tools [6]. For instance, food processing faces  
724 a wide range of foods with complex properties, hard to calculate or even to predict, such  
725 as molecular weight, pH, or water activity, and not so well understood thermodynamics.  
726 Furthermore, the kinetics of biological and chemical processes need to be understood  
727 and made calculable as physics-based models [4]. This effect is intensified by production  
728 mixes, technology variability, and the unpredictability of the physical solution [85],  
729 resulting in complex integration of different modeling methods [4]. However, process  
730 models can already be incorporated to estimate the energy and material requirements  
731 and expected process yield during the food processing [6].

732 Depending on the complex integration of different methods in the digital twin  
733 application, the maturity of prescriptive analytic techniques might become a risk due to  
734 unreliability, thus a barrier to implementing a digital twin [81]. Further, the complexity of  
735 the digital transformation in the FSC requires step-by-step implementation, which takes  
736 several years until a productive state is achieved. Here, on the one hand, data security  
737 and validation need to be considered [31]. On the other hand, realizing autonomous  
738 systems need to pay attention to legislation, in particular hygienic requirements as well  
739 as traceability of the system’s decision.

740 Further, there might be obstacles with regard to the culture in the food industry.  
741 Firstly, the human acceptance of novel and advanced technologies challenges the applica-  
742 tion of digital twins [108], especially as the competencies of the employees in ICT might  
743 be heterogeneous. For example, the survey “Nutrition 4.0 - Status Quo, Opportunities,  
744 and Challenges” by Germany’s digital association Bitkom and the Federation of Ger-  
745 man Food and Drink Industries (BVE) showed that 88% of the more than 300 surveyed  
746 companies in the food industry consider a lack of ICT competencies of their employees  
747 as a critical issue [113]. Secondly, the food production and processing industry is par-  
748 tially highly automated; however, in general, the industry is rather conservative with  
749 introducing new technology that automatically controls processes [31]. Lastly, the risk of  
750 lower attention to the real-world system and the dependency on the recommendations  
751 by digital twins need to be considered [52]. This might be a reason for the small amount  
752 of prescriptive and autonomous digital twins.

753 Another challenge is that only by advancing sensor, communication, and data  
754 processing technologies, real-time interaction between actual and virtual twins can be  
755 achieved [85]. The systems themselves have to enable the implementation of digital  
756 twins, i.e., their properties must be known or observable, as well as they have to provide  
757 high-quality data [114]. In particular, production and processing machines need to  
758 be upgradeable, which may lead to higher investment costs [115]. Further, there are  
759 studies on remotely food monitoring during distribution, retail, and consumption [116,  
760 117]. However, technologies such as radio-frequency identification (RFID) or near-field  
761 communication (NFC), which would support the collection and transfer of data [96,97,  
762 117,118] are not widely applied for this purpose yet [119].

763 The required expertise of knowledge becomes a real challenge for project teams [112].  
764 In order to address the requirements resulting from the key elements, multidisciplinary

765 knowledge is required [83]. This includes expert, plant, machine, and product knowl-  
766 edge [31]. Additionally, the ICT infrastructure, as well as their establishing and organi-  
767 zation, play important roles [31,81].

768 The size of the system, which should be twinned, is further a challenge [81]. Since  
769 FSCs are often distributed across several entities, numerous legal regulations must be  
770 considered [31]. Furthermore, the entire environment must be taken into account with  
771 respect to the complete implementation of all required connections within the digital  
772 twin. These connections (including explicit and invisible ones), internal logic interactions,  
773 and external relationships given in the physical world are difficult to be reproduced  
774 virtually [83]. Thus, the implementation and improvement of a digital twin is a long  
775 process to achieve high effectiveness of the digital twin. However, because the intricacy  
776 of the interactions and processes makes it difficult to capture various characteristics of  
777 real-world supply chains, their models created are often simplified [120].

### 778 5.3. Threats to Validity

779 We used a well-structured approach for the literature review to provide a structured  
780 analysis. Each identified paper was read and classified by at least two authors of this  
781 work; unclear classifications were discussed by all authors. This significantly helps to  
782 reduce human bias in the process. However, some threats to validity still exist, which  
783 we discuss in the following.

784 The choice of keywords might be restricted. Although this survey revealed many  
785 use cases in the production stage, often referred to as agriculture or agricultural applica-  
786 tion, we did not explicitly search with keywords concerning digital twins in agriculture.  
787 This may lead to a lower outcome of search results and the missing of relevant publica-  
788 tions and applications. However, it is common practice to narrow the scope for being  
789 able to handle a topic's complexity, and we clearly describe the used keyword in Section  
790 3.2.

791 In addition, we used "cyber-physical systems" as a keyword since those systems  
792 often integrate digital twins. This search revealed publications, which have not ex-  
793 plicitly mentioned the term "digital twins". As the term itself is still relatively young,  
794 some publications might have been describing digital twins in a CPS without using  
795 the term. Moreover, it was not always possible to differentiate between simpler digital  
796 models/representations and digital twins. As a result, relevant applications may not  
797 have been taken into account.

798 Further, the free web search using a search engine (rather than a scientific database)  
799 provided many results, including scientific publications, press releases, offered product  
800 ranges, project announcements, explanation videos, and more. Despite the great efforts  
801 we have made for this survey, we were not able to analyze all search results in detail and  
802 to the fullest extent. Therefore, some applications may have been omitted. However,  
803 our analysis also showed that non-scientific publications from industry often missed the  
804 required depth of detail to analyze and classify those publications thoroughly; hence,  
805 we assume that the additional contribution would be limited.

806 Each publication was initially analyzed by one of the authors of this work. We fol-  
807 lowed a well-defined approach. Still, as humans are involved, the presence of subjective  
808 bias cannot be entirely excluded. To limit this risk, we double-checked each analysis  
809 by at least a second reviewer for each paper. In case of deviations, we discussed those  
810 publications with all authors.

811 In particular, some applications were not possible to classify clearly to the stages of  
812 the FSC, defined in Section 2.1. This is caused by different definitions of the FSC and FSC  
813 structure or by the unspecified description of the referred stages in other publications.  
814 Others might argue that our FSC structure is not appropriate or not flexible enough for  
815 this classification, e.g., in the case of fresh fruit SCs. However, this paper aims to provide  
816 an overview of digital twin applications in the FSC. Therefore, a clear structure of the  
817 FSC is required, and the structure in this paper merged the most frequently used stages.

## 818 6. Related Work

819 This work investigates the use of digital twins in the food industry, represented by  
820 the FSC, and studies the challenges and potentials of digital twins in the FSC. In this  
821 section, we provide an overview of related publications from the area of digital twins.

822 Although the concept of digital twins and their technical capabilities are still in  
823 their infancy, literature reviews on digital twins exist. However, some reviews are  
824 not focused on foods, the food industry, or at least parts of the FSC. Jones *et al.* [29]  
825 characterized digital twins in general by determining the key terminology of digital  
826 twins. Therefore, they examined intentions of use and applied technologies. Finally,  
827 the authors identified research gaps to apply digital twins, concluding a review limited  
828 to more unified domains would be better. The work of Klerkx *et al.* [108] investigated  
829 digitalization in agriculture from a social-science perspective. In that sense, they review  
830 several related technologies, e.g., IoT, blockchain, and digital twins, among others, with  
831 regards to social aspects as the farmer's identity and skills; ethics with regards to power  
832 supply and consumption and data privacy; and economics.

833 Other works focus on a specific stage of SCs. Pyliaidis *et al.* [39] surveyed the im-  
834 plementation of digital twin use cases in agriculture in particular and over all disciplines  
835 in general. Similar to our work, they classified the applications with regards to the disci-  
836 pline and the service category, according to the stage of the FSC and the digital twin type,  
837 respectively. They further considered the TRL, i.e., differentiate concepts, prototypes,  
838 and deployed digital twins. Additionally, Verdouw *et al.* [61] provided a scheme, which  
839 is used in our work. However, they focused only on agricultural applications as animal  
840 monitoring and crop management, which we included as well. Kritzinger *et al.* [58]  
841 differentiated the integration level concerning the data flow between the physical and  
842 virtual entity and concluded that the terms digital model, digital shadow, and digital  
843 twin are used interchangeably. The authors further regarded the type according to the  
844 TRL. They revealed that digital twins in manufacturing are most often present, but the  
845 work did not focus on food processing.

846 A more all-encompassing view on the agri-food SC is presented in the work  
847 of Tebaldi *et al.* [40], including the SC stages supply, processing, and distribution (ac-  
848 cording to our taxonomy in Section 2.1). For the sake of completeness, we included the  
849 applications mentioned there in our work. Further, the works of Ivanov *et al.* [100] and  
850 Burgos and Ivanov [5] took entire SCs into account concerning the analysis of disruption  
851 risks. Therefore, [100] proposed a digital twin framework to analyze risks, to predict  
852 resilience, and to optimize the SC in order to avoid critical disruptions. The impact of  
853 the Covid-19 pandemic on FSCs is analyzed using a digital twin in [5].

854 However, to the best of the authors' knowledge, there is no publication that dis-  
855 cusses and reviews the application of digital twins in the whole FSC. Further, the derived  
856 research challenges to improve the integration of digital twins into the FSC, which acts  
857 as a kind of research agenda for the community, are unique in literature.

## 858 7. Conclusion

859 This work investigated the challenges and potentials of applying digital twins in  
860 the food industry. Therefore, we conducted a literature review concerning 51 digital  
861 twin applications and assigned them to previously defined stages of the FSC. The survey  
862 revealed that the major proportion of use cases is implemented in the production, often  
863 referred to as agriculture, and processing stages (28 and 16 applications, respectively).  
864 In addition, only a few use cases are deployed in the supply, processing, retail, and  
865 consumption stage (9 applications in total).

866 Further, we classified the applications regarding their underlying model and the  
867 intention of use. Most of the digital twins are based on intelligent or mechanistic  
868 models (20 and 16 applications, respectively). A minor amount uses statistical mod-  
869 els (7 applications). Nearly all of the examined digital twins are used for monitoring the  
870 physical counterpart (48 applications). Additionally, 37 applications calculate predic-

871 tions. However, only a minor amount of digital twins recommend actions or assist in  
872 autonomous system control (20 and 8 applications, respectively). Few applications are  
873 referred to imaginary digital twins (12 applications). A few more use cases maintain the  
874 history (16 applications), but uncertainty due to a lack of information must be considered  
875 in this category.

876 The main challenges of integrating digital twins within FSCs stem from the difficulty  
877 of collecting high-quality physiochemical data and integrating digital twins into existing  
878 supply chain structures [6]. High-quality physicochemical data is required for the use  
879 of digital twin modeling and simulation tools. However, it is challenging to collect and  
880 process this type of data due to food processes having inadequately described properties  
881 and difficult to calculate or predict variables, among other factors. Effective data models  
882 that can accommodate this variability are required; however, there are currently no  
883 commercially data models available that can integrate different modelling methods on  
884 different scales [85]. Further, the lack of multidisciplinary knowledge is challenging  
885 the application [31]. In order to tackle this, new research perspectives, such as Food  
886 Informatics [121], need to be deployed.

887 In order to assist data accessibility, novel and cheaper sensors are developed, en-  
888 abling them to be integrated into the food packaging [91]. In conjunction with other  
889 related technologies as blockchain, this provides more possibilities to monitor the food's  
890 condition during the later stages [122]. This leads to a transformation of the FSC with  
891 digital twins that potentially offer greater transparency, improved traceability, reduced  
892 disruption risk, and optimized processing. In addition, digital twins allow to sense and  
893 monitor parameters and states at difficult accessible or even inaccessible locations, e.g.,  
894 pulp or machines, by providing the ability to place virtual sensors. Finally, through  
895 the creation of digital human clones, food production can become more individual and  
896 personalized with regards to human health [77,79].

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## 907 Appendix A

908 The survey in this work was based on a systematic mapping. Therefore, applications  
909 were classified according to the taxonomy proposed in Section 4.1. Table A1 provides  
910 a complete overview of the applications found in the literature and included in this  
911 work. Few publications contained several applications. Therefore the use cases can be  
912 distinguished through this table. Further, it reveals the FSC stage, the applications were  
913 assigned to, and how we classified the applications in detail.

**Table A1.** Overview of the applications found in the literature and included into this work and their classification according to the taxonomy in Section 4.1. Please note: ‘X’ marks true, ‘n.a.’ that the information was not available. Further, the following abbreviations are used in the table header: Ref. – Reference; rt – real-time; stat – statistical; int – intelligent; mec – mechanistic; ima – imaginary; mon – monitoring; pred – predictive; pres – prescriptive; auto – autonomous; and rec – recollective

Ref.	Application	Stage	rt	stat	int	mec	ima	mon	pred	pres	auto	rec
[6]	Beer brewery	Processing	X	X			X	X	X			X
[8]	Mango (fruit)	Supply				X		X	X			
[14]	Fruits and vegetables	Distribution				X		X	X			
[23] <sup>1</sup>	Animal monitoring	Production	X		X			X	n.a.	n.a.	n.a.	n.a.
[30]	Beverage pasteurizer	Processing	X			X		X	X			X
[34]	Greenhouse	Production	X		X			X		X	X	X
[36]	Wheat plant	Production	X			X		X	X			
[63]	Potato plant	Production	X		X			X		X		X
[42]	Greenhouse	Production	X		X			X	X	X	X	n.a.
[43]	Greenhouse	Production	n.a.	n.a.	n.a.	n.a.		X	X	X		n.a.
[44]	Greenhouse	Production	X	n.a.	n.a.	n.a.		X			X	n.a.
[45]	AMWAY (Product design)	Processing	X				X				X	n.a.
[45]	KRONES (packaging design)	Processing				X	X		X	X		
[45]	Beverage plant (filling)	Processing	X	X				X		X	X	n.a.
[45]	Cheesery plant	Processing	X	n.a.	n.a.	n.a.		X	n.a.	n.a.	X	n.a.
[46]	Processing plan (chocolate bars)	Processing	X	X				X	X			n.a.
[47]	Fruits and vegetables	Distribution/ Retail			X		X	X	X			X
[53]	Plant	Production	X			X	X	X	X			X
[54]	Wheat plant	Production		X				X	X			X
[55]	Mango (fruit)	Supply				X		X	X			
[56]	Mango (fruit)	Supply/ Distribution				X	X	X	X			n.a.
[57]	Tube pasteurizer	Processing	X			X		X	X			X
[61] <sup>2</sup>	Potato (vegetable)	Production	X		X			X	X	X		
[61] <sup>3</sup>	Animal monitoring (cow)	Production			X			X	X			
[61] <sup>4</sup>	Greenhouse	Production	X		X			X	X	X		
[61] <sup>5</sup>	Organic vegetable farming (grow and harvest lettuce)	Production	X		X			X	X	X		X
[61] <sup>6</sup>	Animal monitoring (pig)	Production				X		X	X			
[62]	Hydroponic farm	Production	X			X		X	X	X		X
[64]	Aquaponic system	Production	X			X		X	X	X		
[65] <sup>7</sup>	Dairy Monitor (cow)	Production	X		X			X	X			n.a.
[65] <sup>8</sup>	Open PD (plant disease detection)	Production						X				n.a.
[65] <sup>9</sup>	INSYLO (silos stock monitoring)	Production	X					X				n.a.
[65]	OliFLY (pest traps for olive fly)	Production	X		X			X				n.a.
[65] <sup>10</sup>	BeeZon (apiary monitoring)	Production	X					X				n.a.

*to be continued on next page*

<sup>1</sup> <https://www.cainthus.com/>

<sup>2</sup> <https://www.iof2020.eu/use-case-catalogue/arable/within-field-management-zoning>

<sup>3</sup> <https://www.iof2020.eu/use-case-catalogue/dairy/happy-cow>

<sup>4</sup> <https://www.iof2020.eu/use-case-catalogue/vegetables/chain-integrated-greenhouse-production>

<sup>5</sup> <https://www.iof2020.eu/use-case-catalogue/vegetables/added-value-weeding-data>

<sup>6</sup> <https://www.iof2020.eu/use-case-catalogue/meat/pig-farm-management>

<sup>7</sup> <https://www.connecterra.io/>

<sup>8</sup> <http://www.openpd.eu/>

<sup>9</sup> <https://www.insylo.com/>

<sup>10</sup> <https://www.beezon.gr/el/>

Table A1 – continued from previous page

Ref.	Application	Stage	rt	stat	int	mec	ima	mon	pred	pres	auto	rec
[66]	Animal monitoring (cow)	Production			X		X		X			n.a.
[67]	Vertical Farm	Production					X	X				n.a.
[68]	Crop management (irrigation system)	Production	X		X			X		X		X
[69]	Aquaponic system	Production	X			X		X	X	X		n.a.
[70]	Orchard production system	Production	X		X			X	X	X		n.a.
[71]	Crop management	Production	X		X			X	X			n.a.
[72]	Processing plant (water filling)	Processing		X			X	X	X			
[73]	Processing plant (pudding)	Processing	X			X		X	X	X		n.a.
[74]	Malthouse	Processing				X	X	X		X		X
[75]	Processing plant (Ketchup)	Processing	X		X			X	X		X	n.a.
[75]	Processing plant (milk powder production)	Processing	n.a.		X		n.a.	X	X	n.a.	n.a.	n.a.
[75]	Processing plant (cheese)	Processing	X		X		X	X	X		X	n.a.
[76]	Ice cream machine	Processing				X		X	X			X
[77]	Meat product	Processing		X			X	X	X			
[78]	Potato (vegetable)	Supply	X		X			X	X	X		X
[79]	Consumer	Consumption		X			n.a.	X	X	X		X
[80]	Potato (vegetable)	Production	X		X			X	X	X		X

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